



Broker/Dealer Receipt For Investment Policy And Certificate of Compliance

To: Lori J. Scott
Shasta County Treasurer-Tax Collector
P.O. Box 991805
Redding, CA 96099-1805

By signing below, I _____ of _____
(Name) (Company)

Hereby certify that:

1. I have received the Investment Policy governing the Shasta County Treasurer's Pooled investments, and that I understand it's content. I am not expected to enforce provisions concerning average maturity or category limits. I am expected to offer only those investments that qualify under the Treasurer's credit requirements as directed in the policy. The responsibility for overall portfolio structure and composition remains with the Treasurer.
2. I further certify that I have not made, nor do I intend to make, political contributions to any candidate for Shasta County elective offices.

Signed: _____ Dated: _____